

# Randomization methods in the theory of $L^2$ -Betti numbers

Roman Sauer

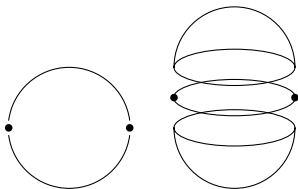
WWU Münster

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## It starts with the Euler characteristic...

- ▶ The **Euler characteristic** of a (finite) cellular complex  $K$  is

$$\chi(K) = \#(0\text{-cells}) - \#(1\text{-cells}) + \#(2\text{-cells}) - \dots$$



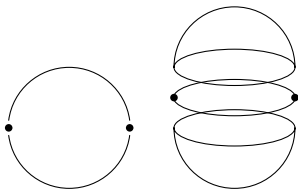
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- ▶ The  $n$ -th **Betti number**  $b_n(M) \in \mathbb{N} \cup \{0\}$  of a topological space  $M$  is the rank of the  $n$ -th homology  $H_n(M)$ .
- ▶ **Euler-Poincaré formula:**

$$\chi(M) = \sum_{n \geq 0} (-1)^n b_n(M).$$

## Towers of finite covers

- ▶ If  $\bar{M} \rightarrow M$  is a  $d$ -sheeted cover, then  $\chi(\bar{M}) = d \cdot \chi(M)$ . One can lift the cell structure on  $M$  to  $\bar{M}$  such that the pre-image of each cell in  $M$  consists of  $d$  cells in  $\bar{M}$ .
- ▶ Let  $\pi = \pi_1(M)$  be the fundamental group of  $M$ . If  $\Gamma \triangleleft \pi$ , then there is a regular  $[\pi : \Gamma]$ -sheeted cover  $\bar{M} = \Gamma \backslash \tilde{M}$  of  $M$ .

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- ▶ Consider a **tower of finite index subgroups**  $\pi = \Gamma_0 \triangleright \Gamma_1 \triangleright \Gamma_2 \triangleright \dots$  with trivial intersection. The **sequence of finite covers**  $M_i = \Gamma_i \backslash \tilde{M}$  "approximates" the **universal cover**  $\tilde{M}$ .
- ▶ Euler-Poincaré formula:

$$\chi(M) = \frac{1}{[\pi : \Gamma_i]} \cdot \chi(M_i) = \sum_{n \geq 0} (-1)^n \underbrace{\frac{b_n(M_i)}{[\pi : \Gamma_i]}}$$

Convergence as  $i \rightarrow \infty$  ??

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### Theorem (Lück)

The limit  $b_n^{(2)}(M) = \lim_{i \rightarrow \infty} \frac{1}{[\pi : \Gamma_i]} \cdot b_n(M_i)$  is the  $n$ -th  $L^2$ -**Betti number**.

# History of $L^2$ -Betti numbers

## Definition (Atiyah 1976)

Let  $M$  be a closed Riemannian manifold and  $F \subset \tilde{M}$  a fundamental domain of  $\pi_1(M) \curvearrowright \tilde{M}$ . Then set

$$b_n^{(2)}(M) = \lim_{t \rightarrow \infty} \int_F \text{trace}_{\mathbb{C}} \left( \underbrace{e^{-t\Delta_n}(x, x) : \text{Alt}^n(T_x M) \otimes \mathbb{C}}_{\text{heat kernel on } n\text{-forms}} \right) d\text{vol}(x).$$

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- ▶ Dodziuk defined  $b_n^{(2)}(K)$  for every finite simplicial complex  $K$ .
- ▶ For a group  $\Gamma$  you want to set

$$b_n^{(2)}(\Gamma) = b_n^{(2)}(B\Gamma),$$

where  $B\Gamma$  is the **classifying space** of  $\Gamma$ . Cheeger-Gromov (1986) define  $b_n^{(2)}(\Gamma)$  even if  $B\Gamma$  has no finite model.

- ▶ Lück (1999) gives the most general definition using his **dimension theory of modules over finite von Neumann algebras**.



## More recent developments

- ▶ Gaboriau (2002) defined  $L^2$ -Betti numbers of spaces fibering over a probability space endowed with a (groupoid) action of a measured equivalence relation.
- ▶ Example of such a relation: the **orbit equivalence relation** of a **free measure preserving** action of a countable group on a probability space, e.g.  $\Gamma \curvearrowright X = \{0, 1\}^\Gamma$ .

### Theorem (Gaboriau)

*Two countable groups  $\Gamma$  and  $\Lambda$  that have such actions on a probability space yielding the same orbit equivalence relation have the same  $L^2$ -Betti numbers  $b_n^{(2)}(\Gamma) = b_n^{(2)}(\Lambda)$ .*

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- ▶ We can compute  $\chi(M)$  and  $b_n^{(2)}(M)$  bringing the dynamics of measure preserving  $\pi_1(M)$ -actions into play!
- ▶ Let's illustrate that with the Euler characteristic in a toy case!

## Euler characteristic and m.p. actions

- ▶ Consider a manifold or a space  $M$  with fundamental group  $\Gamma = \pi_1(M)$ . Let  $\Gamma \curvearrowright (X, \mu)$  be a free  $\mu$ -preserving action.
- ▶ Consider an **equivariant measurable family of triangulations**  $T_x$  **of the universal cover**  $\tilde{M}$  parametrized over  $x \in X$ . All the  $i$ -simplices form a  $\Gamma$ -**invariant measurable subset**

$$T^{(i)} := \bigcup_{x \in X} \{x\} \times T_x^{(i)} \subset \underbrace{X \times \text{map}(\Delta^i, \tilde{M})}_{\Gamma \text{ acts diagonally}}.$$

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Choose measurable fundamental domains  $F^{(i)}$  for  $\Gamma \curvearrowright X \times \text{map}(\Delta^i, \tilde{M})$ .

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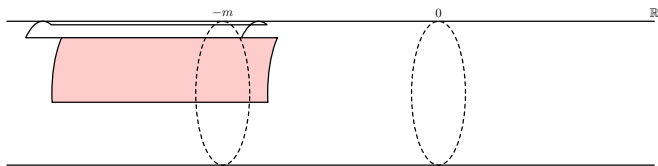
- ▶ If  $T_x = T$  is a constant family of triangulations, this is trivial!
- ▶ Are there interesting non-constant families of triangulations?

## A toy case

- ▶ Consider the case  $M = S^1$ ,  $\Gamma = \pi_1(M) = \mathbb{Z}$ . Let the generator of  $\Gamma$  act on  $(X, \mu) = (S^1, \text{Haar})$  by a rotation of angle  $\alpha \approx 2\pi/m$ .
- ▶ We describe an equivariant partition of  $X \times \tilde{M} = S^1 \times \mathbb{R}$  by sets of the form (Borel subset  $\times$  cell). On each  $\{x\} \times \tilde{M}$  you will then see a triangulation.

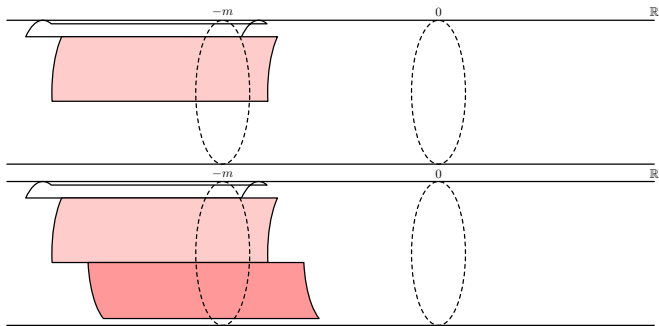
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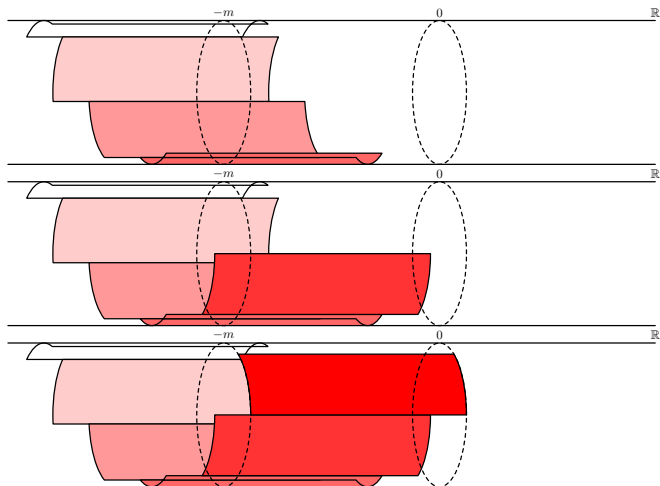
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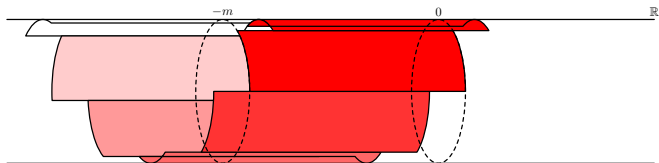
## A toy case

- ▶ More translates...



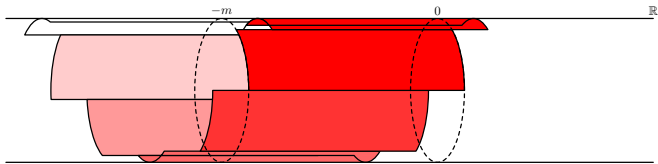
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- ▶ We almost obtain a partition of the cylinder  $S^1 \times \mathbb{R}$  but because of  $m\alpha < 2\pi$  the translates do not quite close up after  $m$  steps.

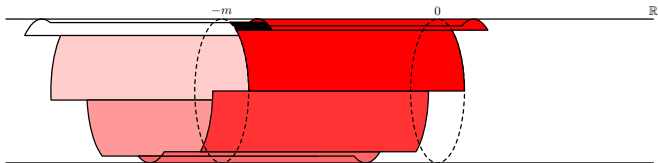


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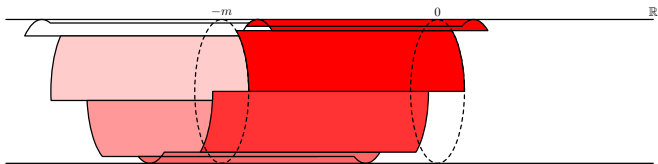


- ▶ We have to introduce another tile (black in the picture) whose  $\Gamma$ -orbit together with the orbit of the first tile partitions  $S^1 \times \mathbb{R}$ .

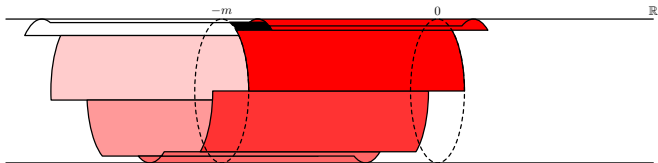


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- ▶  $\rightsquigarrow$  Vanishing of  $\chi(\Gamma)$  for amenable  $\Gamma$  (Rokhlin's theorem)

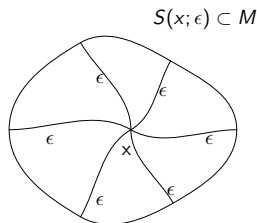
## Reminder on curvature and asphericity

- ▶ For  $\dim M = 2$ : the **sectional curvature** of  $M$  is a function  $K: M \rightarrow \mathbb{R}$  with

$$\text{length}(S(x; \epsilon)) = 2\pi\epsilon - \frac{\pi}{3}K(x)\epsilon^3 + o(\epsilon^3).$$

For  $\dim M \geq 2$  one has  $K: \text{Gr}(2, TM) \rightarrow \mathbb{R}$ .

- ▶ The **Ricci curvature**  $\text{Ricci}: TM \rightarrow \mathbb{R}$  at  $v \in T_x M$  is the average  $\sum_{i=1}^{n-1} K(v, w_i)$ , where  $\{v, w_1, \dots, w_n\}$  is an ON-basis of  $T_x M$ .
- ▶ A space is **aspherical** if its universal cover is contractible.
- ▶ Manifolds that admit a Riemannian metric of non-positive sectional curvature are aspherical.



## Volume and $L^2$ -Betti numbers

### Theorem (Gromov, S)

Let  $M$  be an  $n$ -dimensional closed aspherical manifold. If  $g$  is a Riemannian metric with  $\text{Ricci}(M, g) \geq -(n-1)g$ , then

$$b_i^{(2)}(M) \leq \text{const}_n \text{vol}(M, g) \quad \text{for all } i \geq 0.$$

In particular,  $b_i^{(2)}(M) \leq \text{const}_n \text{minvol}(M)$ , where

$$\text{minvol}(M) = \inf \{ \text{vol}(M, g); -1 \leq K(g) \leq 1 \}.$$

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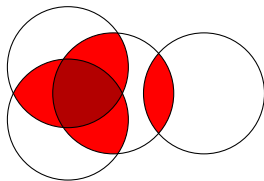
### Theorem (S)

For every  $n \in \mathbb{N}$  there is  $\epsilon_n > 0$  such that every  $n$ -dimensional closed aspherical manifold  $M$  satisfies:

$$\text{minvol}(M) < \epsilon_n \implies b_i^{(2)}(M) = 0 \quad \text{for all } i \geq 0.$$

## A naive proof attempt

- ▶ **Bishop-Gromov inequality:** We obtain a cover  $\mathcal{U}$  of  $\tilde{M}$  by 3-balls with multiplicity  $< \text{const}_n$  by taking 3-balls around points in a **maximal** 1-separated net.
- ▶  $\rightsquigarrow f : \tilde{M} \rightarrow \text{nerve}(\mathcal{U})$  with Lipschitz constant  $< \text{const}_n$  and image in the  $n$ -skeleton of the nerve.
- ▶ If  $\mathcal{U}$  is  $\pi_1(M)$ -equivariant, then  $f(\tilde{M})$  hits at most  $\text{const}_n \text{vol}(M)$  orbits of  $n$ -cells.
- ▶ Asphericity yields that  $f$  is an equivariant homotopy retract, leading to a proof of the inequality.
- ▶ **Problem:**  $\mathcal{U}$  cannot be made  $\pi_1(M)$ -equivariant!





## Randomizing the previous strategy

- ▶ Consider a free  $\mu$ -preserving action of  $\Gamma = \pi_1(M)$  on a probability space  $(X, \mu)$ .
- ▶ Bishop-Gromov inequality again: **One can construct a  $\Gamma$ -equivariant cover  $\mathcal{U} = \{A_i \times B_i\}_{i \in I}$  of  $X \times \tilde{M}$ , where  $A_i \subset X$  measurable and  $B_i \subset \tilde{M}$  is a 3-ball, with multiplicity  $< \text{const}_n$ .**

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- ▶ Using the equivariant measurable field of maps  $\phi_x$  and the theory of  $L^2$ -Betti numbers of measured equivalence relations (Gaboriau) **one can proceed similarly (albeit it is technically much harder) as before.**

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